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GB 2099175 A EP 0016593 A1 JP 550142304 A  
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(54) Optical switching apparatus using movable retroreflector

(57) An optical switch includes a primary optical fiber 12 terminating in a primary window 14, and a plurality of secondary optical fibers 16, 54 each terminating in a secondary window facing in the same direction as and spaced laterally from the primary window. A primary lens 24 on a primary axis has a focal point positioned on the axis at the central window. Each of a plurality of secondary lenses 30, 58 has an axis and a focal point positioned on such axis at a secondary window. The secondary axes are parallel to and equidistant from the primary axis. A retroreflector 36 is spaced from the lenses oppositely from each window and has an optical axis 44 centered parallel to the primary axis 17 midway between the primary axis and any of the second fibers. A stepper motor 48 rotates the retroreflector about the primary axis to each of a plurality of selected secondary fiber.

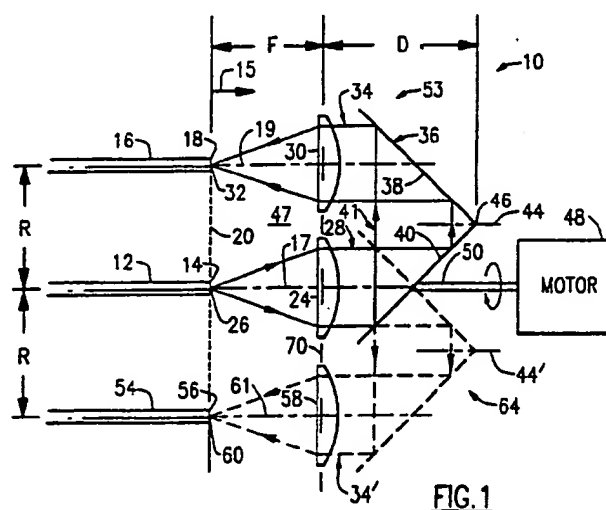
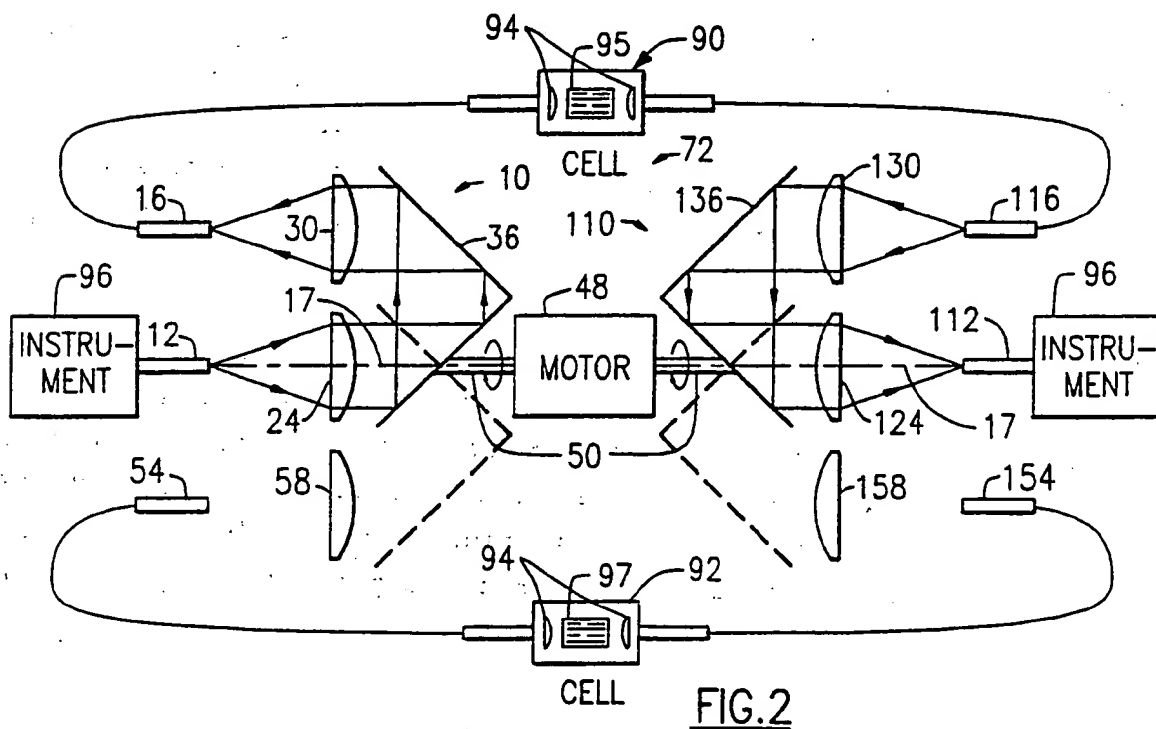
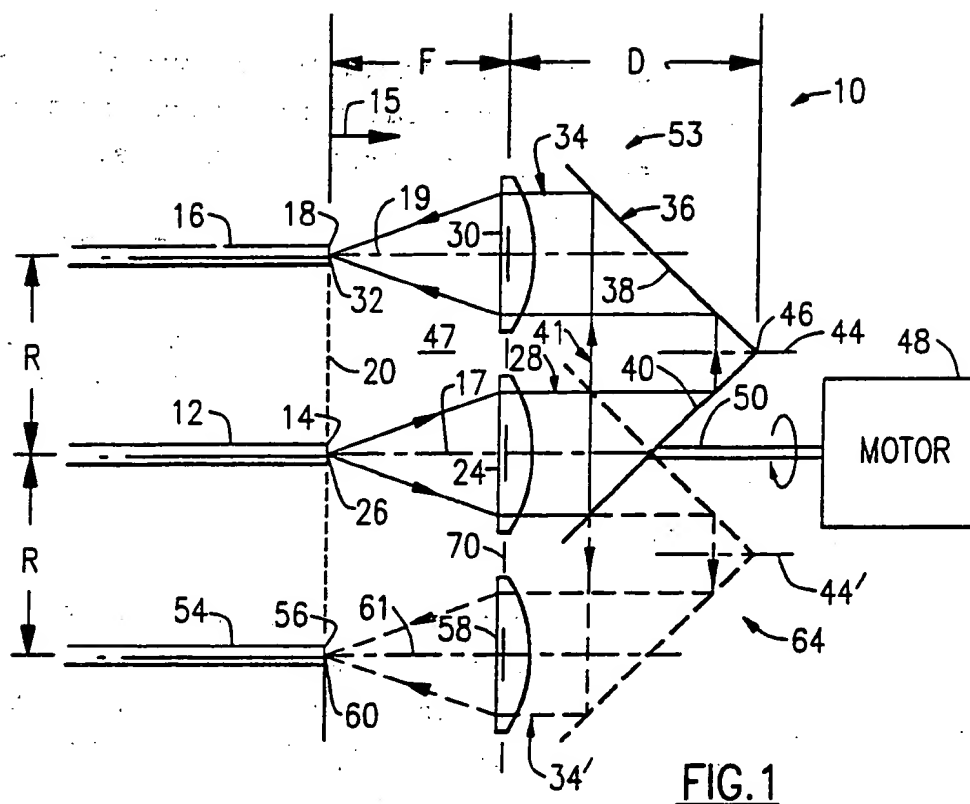


FIG.1

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## OPTICAL SWITCHING APPARATUS

This invention relates generally to optical switching of light transmission, and particularly to optical switching for optical fibers such as for photometric instruments.

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## BACKGROUND OF THE INVENTION

Developments in the use and versatility of photometric instruments, as well as other optical apparatus such as for transmission of information, have expanded a need for switching in optical transmission. An example is switching for a spectrophotometer.

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Switching devices generally contain at least one movable optical element with a position or orientation that is changed to switch from one configuration to another. An important requirement of switching devices used in spectrophotometric applications is insensitivity of the light throughput to small, unavoidable motions or jiggling that result in changes in the relative positions or orientations of optical elements. Any change in throughput while the switch is at one setting will cause an incorrect change in a measured photometric value. Such change in throughput upon switching away from and then back to a given setting will result in a lack of reproducibility in the measured photometric value.

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Recently optical fibers have been adapted to carry radiation to and from a light transmitting sample probe remote from the instrument. The probe may be immersed in the liquid as described, for example, in U.S. Patent No. 5,077,481 (Hoult), to monitor for any ongoing changes in the liquid. It sometimes is desirable to monitor several samples with the same photometric

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instrument, in which case switching is needed for selecting samples or probes. Several types of optical switches have been known. For example, U.S. Patent No. 5,056,886 (Hoult) discloses the use of a concave mirror for switching between fibers arranged in a bundle, the mirror being rotated about a rotation axis spaced from the optical axis to effect switching. This switch requires accurate alignment to maintain and reproduce light throughput.

Rotatable periscopes for switching are taught in U.S. Patent Nos. 4,626,065 (Mori) and 5,005,934 (Curtiss). With periscopes, output radiation generally is continued in the same direction as the input radiation, but laterally offset. In principle, the light throughput of these are relatively insensitive to small changes in the position of the periscope alignment, but have some sensitivity to rotational changes. Also, a central motor for switching requires space that adds optical path length to the system, thereby increasing the sensitivity to rotational changes. U.S. Patent No. 4,989,932 (Landa et al) discloses a multiplexer comprising a rotatable barrel containing a pair of 45° mirrors to deflect light passage to, and return light from, a selected pair of optical fibers. This system is more sensitive than the periscope to changes in both position and orientation of the moving optical elements.

A Porro reflector is similar to a periscope except that the two component reflectors are oriented to direct the output light back toward the input source. Porro reflectors are particularly sensitive to small changes in rotational position about an axis perpendicular to both the edge of the Porro reflector and the light beams.

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Retroreflectors, particularly corner cube reflectors, are used in optics to redirect light back toward the originating direction. For example U.S. Patent No. 4,569,569 (Stewart) discloses a coupler for an optical fiber system. With a single  
5 lens for transmitting light both to and from a retroreflector, light is passed between optical fibers. One embodiment includes a beam splitter whereby the retroreflector may be rotated to select pairs of outgoing fibers for transmitting a split beam (Figs. 7 and 8 of the patent).

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#### SUMMARY OF THE INVENTION

An object is to provide a novel optical switching apparatus for the transmission of light, particularly between optical fibers. A further object is to provide such apparatus for coupling and decoupling the transmission of light. Another object is to  
15 provide such apparatus for switching light transmission between one light transmitter and any selected one of a plurality of other light transmitters. Another object is to provide such an apparatus which is relatively insensitive to small motions of the movable optical elements, particularly rotational motions.  
20 Yet another object is to provide improved optical switching between a photometric instrument and selected external samples through the use of optical fibers.

The foregoing and other objects are achieved, at least in part, with an optical switching apparatus associated with transmission  
25 means such as optical fibers for transmitting radiation. Such means include a primary transmission means terminating in a primary window facing in a predetermined direction along a primary axis, and a secondary transmission means terminating in a secondary window facing in the predetermined direction along

a secondary axis. The secondary axis is parallel to and laterally spaced from the primary axis.

A pair of lenses is communicative of light radiation with the transmission means, consisting of a primary lens and a secondary lens. The primary lens has an axis coinciding with the primary axis and a focal point positioned on the primary axis at the primary window. The secondary lens has an axis coinciding with the secondary axis and a secondary focal point positioned on the secondary axis at the secondary window. A retroreflector, preferably a corner cube reflector, i.e., prism or reflecting mirrors, is spaced from the lenses oppositely from each window. The retroreflector has its optical axis aligned parallel to the primary axis.

A positioning means selectively positions the retroreflector to each of a plurality of selected positions, advantageously by a stepper motor rotating the retroreflector about the primary axis to each of the plurality of selected positions. In a transmitting position, the optical axis of the retroreflector is aligned midway between the primary axis and the secondary axis in a plane thereof, such that radiation can be transmitted between the primary transmission means and the secondary transmission means by the retroreflector via the lenses. In a second position, the optical axis is displaced away from the plane so that substantially no radiation can pass between the central transmission means and the outer transmission means. Thus, in one aspect of the invention, on-off switching is provided between the two transmission means.

In a preferred aspect, the transmission means comprises at least one additional secondary transmission means for transmitting radiation. Each additional secondary means terminates in an

associated window facing in the predetermined direction along an associated axis parallel to the primary axis. Each associated axis has a lateral spacing from the primary axis radially equal to the lateral spacing of the secondary axis from the primary axis. The associated axes and the secondary axis are arranged arcuately about the primary axis.

Associated with each additional transmission means is an additional lens having an axis coinciding with the associated axis and a focal point positioned on the associated axis at the associated window. The plurality of selected positions of the retroreflector includes, for each additional transmission means, an additional transmitting position with the optical axis positioned midway between the primary axis and the associated axis in a plane thereof. Thus radiation can be transmitted between the primary transmission means and the additional transmission means by the retroreflector via the primary lens and the additional lens. Selected rotation of the retroreflector about the primary axis provides switching for transmission of radiation between the central transmission means and any selected secondary transmission means.

Selected optical elements are advantageously misaligned so as to reduce sensitivity of the radiation transmission to alignment during switching. For example, the primary lens may have a nominally different focal length than the secondary lenses.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic drawing of an optical apparatus of the invention.

FIG. 2 is a schematic drawing of another embodiment of an apparatus of the invention.

#### DETAILED DESCRIPTION OF THE INVENTION

With reference to an optical apparatus 10 of FIG. 1, a primary  
5 optical fiber 12 transmits light from a source (not shown) of  
light radiation that may be visible, infrared or ultraviolet.  
The fiber terminates in a primary end window 14 facing in a  
predetermined direction 15 which is toward the right in the  
figure. At its termination, the primary optical fiber is  
10 collinear with a primary axis 17. A secondary, outer optical  
fiber 16 terminates in an outer end window 18 facing in the same  
predetermined direction. At its termination, the outer optical  
fiber is collinear with a secondary axis 19 which is parallel to  
and spaced laterally from the primary axis by a distance R. The  
15 windows 14, 18 preferably lie in a common plane 20 normal to the  
primary axis.

A primary lens 24 is disposed with its optical axis coincident  
with the primary axis 17 and with its focal point 26 located on  
the primary axis at the window 14. Divergent light from the  
20 window is refracted by the primary lens to form a collimated  
beam of light 28 extending from the primary lens on the opposite  
side of the lens from the window. A similar, preferably  
identical or nearly identical, secondary lens 30 is disposed  
with its optical axis coincident with the secondary axis 19 and  
25 with its focal point 32 located on the secondary axis at the  
window 18. This lens focuses a collimated light beam 34 onto  
the end window 18. In the present embodiment the primary lens  
24 and the secondary lens 30 have substantially equal focal  
lengths. (The term "lens" as used herein and in the claims



means a single lens or a combination of lenses having the same function.)

A retroreflector 36 reflects light between the lenses. The retroreflector is shown schematically as a pair of mirrors 38,40, but is actually a corner cube prism or equivalent configuration of mirrors having three orthogonal reflecting surfaces. Other similarly functioning arrangements for a retroreflector may be utilized, for example a "cats eye" with a suitable arrangement of a higher number of reflecting facets.

10 The retroreflector is situated with respect to the lenses 24,30 oppositely from the windows 14,18. In a transmission position, the retroreflector reflects the ingoing collimated beam 28 into the outgoing collimated beam 34 via an intermediate beam 41 (which is actually a double beam between the three reflectors of the corner cube retroreflector, an intermediate reflection not  
15 being shown). This is achieved by positioning the retroreflector so that its axis 44 through the vertex 46 is parallel to the primary axis 17 and is centered midway between the primary and secondary axes 17,19 in the transmission plane  
20 defined by these axes.

Orientation of the retroreflector about its axis 44 is theoretically not critical. However, it is prudent to orient so that there is no reflection at a corner between cube face.

A stepper motor 48 or other suitable positioning means is  
25 connected to the retroreflector 36 with a drive shaft 50 to selectively rotate the retroreflector about the primary axis 17. The retroreflector is configured cooperatively with the primary lens 24 and the secondary lens 30 so that the primary focus 26 and the secondary focus 32 constitute conjugate foci with each

other. Thus light transmitted in by the primary fiber 12 is passed through the retroreflector 36 and out through the secondary fiber 16. If the fibers and lenses are precisely the same, and properly aligned, the light beam characteristics will be unchanged from fiber to fiber. It also is equivalent in this invention whether the light is transmitted from the central fiber to the outer fiber, or vice versa.

The stepper motor 48, or other positioning means such as a hand control on the axle 50, is used to selectively rotate the retroreflector 36 about the primary axis 17 to each of a plurality of selected positions. For a simple on-off optical switch there are two positions. In the first position 53, with the retroreflector axis 44 positioned between the primary and secondary axes 17,19 in the transmission plane, light can pass between the central fiber 12 and the outer fiber 16. In a second position, e.g. a position 64 (shown with broken lines) 180° from the first position, the retroreflector is rotated sufficiently for its axis to be displaced away from alignment with the primary and secondary axes for no light to pass between the central transmission means 12 and the outer transmission means 16. In this aspect, the two fibers simply are coupled and decoupled with an on-off switch. A much smaller rotation than 180° clearly would be sufficient for this purpose. In a broader purview of an on-off switch, the positioning means may displace the retroreflector laterally; however the rotational means of the present example is particularly convenient.

In a further aspect of the invention, included in FIG. 1, there is at least one additional secondary fiber 54, or other transmission means for transmitting light, one such fiber being shown. Each such fiber terminates in an associated end window

56 which preferably lies in the common plane 20. At its termination the outer optical fiber is collinear with an associated axis 61 which is parallel to and spaced laterally from the primary axis 17 by the distance  $R$ , i.e. the same spacing as the first secondary axis 19. There may be as many additional fibers as needed and as space allows.

A separate lens 58 is associated with each additional fiber. Each such lens is disposed with an associated focal point 60 located on the associated lens axis 61 at the associated end window 56. Generally the configuration for each additional fiber and lens is the same as for the first secondary fiber 16 and its lens 30. Focal lengths of all secondary lenses should be equal to each other and, in one embodiment, are the same as the focal length of the primary lens. All secondary fibers and lenses are arranged on their respective axes arcuately about the primary axis 17.

The plurality of selected positions of the retroreflector further includes, for each additional fiber, an additional position 64 with the collimated beam path in a new position 34'. The new axis of the retroreflector is aligned in a new position 44' centered midway between the primary and additional axes 17, 61 in the transmission plane of these axes, so that light can pass between the primary fiber 12 and the additional fiber 54. Switching is thereby provided for light to be passed between the primary fiber and any selected secondary fiber.

All of the windows 14, 18, 56 preferably lie in the common plane 20 normal to the central axis. Ideally, the retroreflector should be disposed so that the sum of the lateral spacings  $R$  of the axes and the axial distance  $D$  of the vertex from the effective plane 70 of the lenses is equal to the sum of the

focal lengths  $F$  of the primary lens and secondary lens (shown equal in this embodiment); thus  $R+D=2F$ . This ensures that all rays from one fiber will be within the numerical aperture of its partner. However, this ideal is not critical, and the foregoing relationship may be deviated by a factor of two or more.

The fibers should be substantially identical, and the ends of the fibers may be one-on-one imaged on each other. Precise alignment of all components allows for maximum light throughput without an increase in the etendue of the system.

10 However, the stability and repeatability can be enhanced by selectively and nominally misaligning the optics. This will reduce light transmission slightly, but make it more reproducible and stable. One way to misalign is to provide the primary lens 24 with a nominally different focal length than  
15 that of the secondary lenses. For this purpose, the focal length difference should be between about 10% and 20% of the central lens focal length. If, for example, the focal length of the primary lens is greater than those of the secondary lenses, the image of the end of the primary fiber on the end of the  
20 secondary fiber will be demagnified, while the angles of the rays coming from the primary fiber will spread beyond the numerical aperture of the secondary fiber.

Another means for misalignment is through displacement of optical elements from the ideal positions set forth above. For  
25 example, displacing the retroreflector along the axis 17 away from the lens plane so as to approximately double the distance  $D$ . This will cause an angular misalignment relative to the numerical aperture of the fibers. Yet another way to misalign an image of the end of one fiber or the other is to

change the distance between the primary fiber and its lens by about 5% to 10% of the focal length of the lens.

The foregoing switching apparatus may be utilized for selection of fiber pairs for conveying light between remote test samples and a photometric instrument such as a spectrophotometer. This may be achieved in a combined apparatus 72 (FIG. 2) with a second combination of switching optics 74 substantially identical to the first combination 10 except arranged in mirror image thereof and facing oppositely therefrom. The second, counterpart combination 110 includes a counterpart primary optical fiber 112 (or other transmission means for transmitting light), a counterpart secondary fiber 116, at least one counterpart additional fiber 154, and a counterpart retroreflector 136. Respective counterpart lenses 124, 130, 158 also are provided.

The counterpart primary fiber 112 is aligned axially with the primary fiber 12 on the axis 17 of the primary optical combination 10. The same stepper motor 48 (or other positioning means, such as a second motor) is connected with a common drive shaft 50 (or pair of aligned drive shafts) to rotate the second retroreflector 136 about the primary axis 17 mutually with the first retroreflector 36. The second secondary fiber 116 and each second additional fiber 154 has an optical pairing externally with a matching outer fiber 16 or additional fiber 54 of the first combination so as to effect light transmittal through each pairing. The optical pairing is effected, for example, by way of liquid sample cells 90, 92 with a plurality of lenses 94 arranged conventionally for passing light through the liquid 95, 97 for analysis. Each fiber pair is utilized with a different sample cell.

Selective, mutual rotation of the retroreflectors provides switching for light to be passed out of and back to an instrument 96 via the second primary fiber 76 and the primary fiber 12 of the first combination, and further via a selected pair of outer fibers, thereby selecting sample cells.

Although the examples herein are presented with respect to optical fibers, other light transmission means may be utilized. For example, a central transmission means may be an optical train of lenses and/or mirrors may image a light source at the position of the windows. Similarly, another optical train may pick up an image at the location of the outer window. In such cases the term "windows" as used herein and in the claims may mean a virtual window at the location of such an image. A physical aperture stop may be positioned at the window location.

Also, it will be appreciated that the invention may be utilized with optical systems other than photometric instruments. An example is information transmittal such as telephone or computer lines.

Use of a retroreflector according to the invention has advantages over other switching means. The present inventors have ascertained that a corner cube reflector provides a significant reduction in light-passage sensitivity over single plane mirror arrangements with respect to small rotational displacements. The corner cube reflector also provides significant reductions in light-passage sensitivity over the concave mirror arrangements of the aforementioned U.S. Patent No. 5,077,481 with respect to both small rotational and translational displacements. An advantage over the periscope switching method is that, in practice, the latter requires a motor inside the circle of the outer fibers, thereby increasing

the length of the transverse distance travelled by the beam, decreasing stability and repeatability. Although the periscope and Porro reflector have low sensitivity to translational displacements, they are more sensitive than a corner cube to rotational error. The corner cube also provides improvements in sensitivity over single mirror switching. Multiple lenses arranged as taught herein provide for improve sensitivity over the single lens system of the aforementioned U.S. patent No. 4,569,569.

10 The single lens arrangement of the aforementioned U.S. patent No. 4,569,569 splits the beam from a retroreflector, thereby allowing only half of the light to be transmitted to any single selected fiber. In the optical apparatus of the present invention, the use of a separate dedicated lens for each fiber  
15 does not split the beam, and so allows substantially the full amount of light to be passed along.

While the invention has been described above in detail with reference to specific embodiments, various changes and modifications which fall within the spirit of the invention and  
20 scope of the appended claims will become apparent to those skilled in this art. Therefore, the invention is intended only to be limited by the appended claims or their equivalents.

**CLAIMS:**

1. An optical switching apparatus comprising:

transmission means for transmitting radiation, comprising  
primary transmission means terminating in a primary window  
facing in a predetermined direction along a primary axis, and  
5 secondary transmission means terminating in a secondary window  
facing in the predetermined direction along a secondary axis,  
the secondary axis being parallel to and having a lateral  
spacing from the primary axis;

a pair of lenses communicative of radiation with the  
10 transmission means, consisting of a primary lens and a secondary  
lens, the primary lens having an axis coinciding with the  
primary axis and a focal point positioned on the primary axis at  
the primary window, and the secondary lens having an axis  
coinciding with the secondary axis and a secondary focal point  
15 positioned on the secondary axis at the secondary window;

a retroreflector spaced from the lenses oppositely from each  
window, the retroreflector having an optical axis aligned  
parallel to the primary axis; and

positioning means for selectively positioning the retroreflector  
20 to each of a plurality of selected positions including a  
transmitting position with the optical axis positioned midway  
between the primary axis and the secondary axis in a plane  
thereof, such that radiation can be transmitted between the  
primary transmission means and the secondary transmission means  
25 by the retroreflector via the lenses, and a further position  
with the optical axis displaced away from the plane such that



substantially no radiation can pass between the central transmission means and the outer transmission means.

2. The apparatus of claim 1 wherein each transmission means comprises an optical fiber.

3. The apparatus of claim 1 wherein the retroreflector is a corner cube reflector.

4. The apparatus of claim 1 wherein the lenses have equal focal lengths.

5. The apparatus of claim 1 wherein the positioning means selectively rotates the retroreflector about the primary axis to each of the plurality of selected positions.

6. The apparatus of claim 6 wherein the positioning means comprises a stepper motor.

7. The apparatus of claim 5 wherein the lenses have a common lens plane normal to the primary axis, the retroreflector has a vertex on the optical axis with a lateral spacing from the lens plane, the lenses have respective focal lengths, and the sum of  
5 the lateral spacing and the vertex spacing is equal to the sum of the focal lengths.

8. The apparatus of claim 5 wherein the windows, the lenses and the retroreflector constitute optical elements, and selected elements of the optical elements have a nominal misalignment.

9. The apparatus of claim 8 wherein the nominal misalignment comprises the primary lens and the secondary lens having nominally different focal lengths.

10. The apparatus of claim 5 wherein the transmission means further comprises one or more additional secondary transmission means for transmitting radiation, each such additional means terminating in an associated window facing in the predetermined  
5 direction along an associated axis, each associated axis being parallel to and having a lateral spacing from the primary axis radially equal to the lateral spacing of the secondary axis from the primary axis, the secondary axis and the associated axes being arranged arcuately about the primary axis, and the  
10 apparatus further comprises an additional secondary lens associated with each additional transmission means, each additional lens having an axis coinciding with the associated axis and a focal point positioned on the associated axis at the associated window, so that the plurality of selected positions  
15 of the retroreflector includes, for each additional transmission means, an additional transmitting position with the optical axis positioned midway between the primary axis and the associated axis in a plane thereof, such that radiation can be transmitted between the primary transmission means and the additional  
20 transmission means by the retroreflector via the primary lens and the additional lens, whereby selected rotation of the retroreflector about the primary axis provides switching for transmission of radiation between the central transmission means and any selected secondary transmission means.

11. The apparatus of claim 10 wherein the positioning means comprises a stepper motor.

12. The apparatus of claim 10 wherein each transmission means comprises an optical fiber.

13. The apparatus of claim 10 wherein the retroreflector is a corner cube reflector.

14. The apparatus of claim 10 wherein the lenses consisting of the primary lens and each secondary lens have equal focal lengths.

15. The apparatus of claim 10 wherein the windows, the retroreflector, the primary lens and each additional lens constitute optical elements, and selected elements of the optical elements have a nominal misalignment.

16. The apparatus of claim 15 wherein the nominal misalignment comprises the common focal length being nominally different than the focal length the primary lens.

17. The apparatus of claim 10 wherein the apparatus comprises a first combination of optics including the retroreflector, each transmission means and each lens, and further comprises a second combination of optics substantially identical to the first  
5 combination arranged in mirror image thereof and facing oppositely therefrom, the second combination comprising a second retroreflector, second primary transmission means for transmitting radiation and a plurality of second secondary transmission means for transmitting radiation, the second  
10 primary transmission means being aligned axially with the primary transmission means of the first combination, each secondary transmission means of the second combination being aligned axially with a counterpart secondary transmission means of the first combination, and the positioning means being  
15 connected to rotate the second retroreflector about the central axis mutually with the retroreflector of the first combination, whereby mutual rotation of the retroreflectors provides coordinated switching of the first combination and the second combination.

18. The apparatus of claim 17 wherein the positioning means comprises a stepper motor disposed between the second retroreflector and the retroreflector of the first combination, and a drive shaft extending from opposite sides of the motor, the drive shaft being connected to each retroreflector on the central axis.

19. An optical switching apparatus substantially as hereinbefore described with reference to and as shown in Fig. 1 or Fig. 2 of the accompanying drawings.

**Patents Act 1977**  
**Examiner's report to the Comptroller under Section 17**  
**(The Search report)**

Application number  
GB 9500346.3

**Relevant Technical Fields**

(i) UK Cl (Ed.N) G2J (JGEE, JBSS1)

(ii) Int Cl (Ed.6) G02B

Search Examiner  
MR C ROSS

Date of completion of Search  
7 MARCH 1995

**Databases (see below)**

(i) UK Patent Office collections of GB, EP, WO and US patent specifications.

(ii)

Documents considered relevant following a search in respect of Claims :-  
1-19

**Categories of documents**

- |  |   |
|--|---|
| <p><b>X:</b> Document indicating lack of novelty or of inventive step.</p> <p><b>Y:</b> Document indicating lack of inventive step if combined with one or more other documents of the same category.</p> <p><b>A:</b> Document indicating technological background and/or state of the art.</p> | <p><b>P:</b> Document published on or after the declared priority date but before the filing date of the present application.</p> <p><b>E:</b> Patent document published on or after, but with priority date earlier than, the filing date of the present application.</p> <p><b>&amp;:</b> Member of the same patent family; corresponding document.</p> |
|--|---|

Category	Identity of document and relevant passages	Relevant to claim(s)
X	GB 2099175 A (PLESSEY) see especially Figures 7 and 8	1 at least
X	EP 0016593 A1 (FMC) see especially Figure 8	1 at least
X	US 5173958 (WHITTAKER)	1 at least
X	US 4589726 (G.T.E.)	1 at least
X	JP 550142304 A (NDDK) see especially Figures 4 and 6	1 at least
X	JP 550142303 A (NDDK) see especially the Figures	1 at least

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